

# Concavity and Second Derivative Test

## Definition of Concavity

Let  $f$  be a differentiable function defined on an open interval  $I$ .

1. The graph of  $f$  is **concave upward** on  $I$  if  $f'$  is increasing on  $I$ .
2. The graph of  $f$  is **concave downward** on  $I$  if  $f'$  is decreasing on  $I$ .

## Test for Concavity

Let  $f$  be a function whose second derivative exists on an open interval  $I$ .

1. If  $f''(x) > 0$  for all  $x$  in  $I$ , then  $f$  is concave upward on  $I$ .
2. If  $f''(x) < 0$  for all  $x$  in  $I$ , then  $f$  is concave downward on  $I$ .

## Definition of Point of Inflection

Let  $f$  be continuous on an open interval  $I$  containing  $c$ . The point  $(c, f(c))$  is called a **point of inflection** of the graph of  $f$  if the graph has a tangent line at  $(c, f(c))$  and the concavity changes from upward to downward or from downward to upward at the point.

Note: The tangent line could be vertical, in which case  $f'(c)$  is undefined, or nonvertical, in which case  $f'(c)$  is defined and represents its slope.

Note: At a point of inflection  $(c, f(c))$ ,  $f''(c)$  cannot be positive or negative. Therefore either  $f''(c) = 0$  or  $f''(c)$  is undefined.

## Second Derivative Test

Let  $f$  be a function such that  $f'(c) = 0$  and the second derivative of  $f$  exists on an open interval containing  $c$ .

1. If  $f''(c) > 0$ , then  $f$  has a relative minimum at  $(c, f(c))$ .
2. If  $f''(c) < 0$ , then  $f$  has a relative maximum at  $(c, f(c))$ .

Note: If  $f''(c) = 0$ , then the test is inconclusive (i.e. it fails). In this case  $f$  may have a relative minimum, a relative maximum, or neither. The First Derivative Test should instead be used.